

## 1 Introduction

The syntax and subtyping relation introduced here is based on Featherweight Java (Igarashi, Pierce, Wadler '1999).

## 2 Extended Syntax

$A$	$::=$		Thread Checker annotations
		$@\text{NotRunBy}(x)$ $@\text{OnlyRunBy}(x)$	
$CL$	$::=$	$\text{class } \bar{A} C \text{ extends } C \{ \bar{C} f; K \bar{M} \}$	class declarations
$K$	$::=$	$\bar{A} C(\bar{C} f) \{ \text{super}(\bar{f}); \text{this}.\bar{f} = \bar{f}; \}$	constructor declarations
$M$	$::=$	$\bar{A} C m(\bar{C} x) \{ \text{return } t; \}$	method declarations

The rest of the syntax is identical to that of Featherweight Java.

## 3 Featherweight Java Subtyping Relation

$$\begin{array}{c}
 C <: C \\
 \\
 \frac{C <: D \quad D <: E}{C <: E} \\
 \\
 \frac{CT(C) = \bar{A} \text{ class } C \text{ extends } D \{ \dots \}}{C <: D}
 \end{array}$$

## 4 Auxiliary Definitions

### 4.1 Extraction

Extract the method declarations from a class:

$$\frac{CT(C) = \overline{A} \text{ class } C \text{ extends } D \{ \overline{C_f} f; K \overline{M} \}}{\text{extract}_M(C) = \overline{M}}$$

Extract the annotations from a class:

$$\frac{CT(C) = \overline{A} \text{ class } C \text{ extends } D \{ \overline{C_f} f; K \overline{M} \}}{\text{extract}_A(C) = \overline{A}}$$

Extract the annotations from a method:

$$\frac{\overline{A} R m(\overline{P} x) \in \text{extract}_M(C)}{\text{extract}_A(m, C) = \overline{A}}$$

Extract the name from a method declaration:

$$\frac{M = \overline{A} R m(\overline{P} x)}{\text{name}_M(M) = m}$$

Extract the annotations from a method:

$$\frac{\overline{A} R m(\overline{P} x) \in \text{extract}_M(C)}{\text{extract}_A(m, C) = \overline{A}}$$

Get only the `@NotRunBy(x)` or `@OnlyRunBy(x)` annotations of an annotations list, respectively:

$$\begin{aligned} \text{notRunBy}(\cdot) &= \cdot \\ \text{notRunBy}(\text{@NotRunBy}(x), \overline{A}) &= \text{@NotRunBy}(x), \text{notRunBy}(\overline{A}) \\ \text{notRunBy}(\text{@OnlyRunBy}(x), \overline{A}) &= \text{notRunBy}(\overline{A}) \end{aligned}$$

$$\begin{aligned} \text{onlyRunBy}(\cdot) &= \cdot \\ \text{onlyRunBy}(\text{@OnlyRunBy}(x), \overline{A}) &= \text{@OnlyRunBy}(x), \text{onlyRunBy}(\overline{A}) \\ \text{onlyRunBy}(\text{@NotRunBy}(x), \overline{A}) &= \text{onlyRunBy}(\overline{A}) \end{aligned}$$

## 4.2 Method Introduction

Find the class  $D$ , such that  $C <: D$ , that introduces a method  $m$ , i.e. the superclass of  $C$  containing a declaration of  $m$  that does not have any superclasses that also contains a declaration of  $m$ . If the method  $m$  is not defined in  $C$  or any of its superclasses,  $\cdot$  is returned.

$$\text{introduced}(m, \text{Object}) = \cdot$$

$$\frac{\begin{array}{l} CT(C) = \bar{A} \text{ class } C \text{ extends } D \{ \dots \} \\ m \text{ defined in } \text{extract}_M(C) \\ \text{introduced}(m, D) = \cdot \end{array}}{\text{introduced}(m, C) = C}$$

$$\frac{\begin{array}{l} CT(C) = \bar{A} \text{ class } C \text{ extends } D \{ \dots \} \\ \text{introduced}(m, D) = S \\ S \neq \cdot \end{array}}{\text{introduced}(m, C) = S}$$

## 4.3 Annotation Lookup

Methods of class `Object` do not have any annotations.

$$\text{annot}_M(m, \text{Object}) = \cdot$$

The annotations of a method  $m$  that is introduced in class  $C$  are the union of the class annotations on  $C$  and the annotations mentioned in the method declaration of  $m$  in  $C$ .

$$\frac{\begin{array}{l} \text{introduced}(m, C) = C \\ \text{extract}_A(C) = \bar{A}_C \\ \text{extract}_A(m, C) = \bar{A}_M \end{array}}{\text{annot}_M(m, C) = \bar{A}_C \cup \bar{A}_M}$$

The annotations of a method  $m$  that is overridden in class  $C$ , i.e. method  $m$  was introduced in a superclass of  $C$ , are the union of the class annotations on  $C$ , the annotations mentioned in the overriding method declaration of  $m$  in  $C$ , and the annotations of the same method  $m$  in the superclass of  $C$ .

$$\frac{\begin{array}{l} \neg \text{introduced}(m, C) \\ CT(C) = \bar{A}_C \text{ class } C \text{ extends } D \{ \dots \} \\ \text{extract}_A(m, C) = \bar{A}_M \\ \text{annot}_M(m, D) = \bar{A}_D \end{array}}{\text{annot}_M(m, C) = \bar{A}_C \cup \bar{A}_M \cup \bar{A}_D}$$

Check that a set of annotations does not contain contradictions.

$$\begin{array}{c}
 \overline{\cdot} \text{ OK} \\
 \hline
 \overline{A} \text{ OK} \\
 \text{@OnlyRunBy}(x) \notin \overline{A} \\
 \hline
 \text{@NotRunBy}(x), \overline{A} \text{ OK}
 \end{array}
 \qquad
 \begin{array}{c}
 \overline{A} \text{ OK} \\
 \text{@NotRunBy}(x) \notin \overline{A} \\
 \hline
 \text{@OnlyRunBy}(x), \overline{A} \text{ OK}
 \end{array}
 \qquad
 \boxed{\overline{A} \text{ OK}}$$

## 5 Thread Checker Subtyping Relation

Let  $<@$  be the Thread Checker subtyping relation to distinguish it from the Featherweight Java subtyping relation  $<:$ .

Reflexive property

$$C <@ C$$

Transitive property

$$\frac{C <@ D \quad D <@ E}{C <@ E}$$

$$\frac{
 \begin{array}{l}
 C <: D \\
 \text{extract}_M(D) = \overline{M} \\
 \text{name}_M(\overline{M}) = \overline{m} \\
 \text{notRunBy}(\text{extract}_A(\overline{m}, C)) = \overline{\overline{A_C}} \\
 \text{notRunBy}(\text{extract}_A(\overline{m}, D)) = \overline{\overline{A_D}} \\
 \text{for each } (\overline{A_C}, \overline{A_D}) \in (\overline{\overline{A_C}}, \overline{\overline{A_D}}) : \overline{A_C} \subseteq \overline{A_D}
 \end{array}
 }{C <@ D}$$

For  $C <@ D$ , it is required that  $C <: D$  and that the sets of `@NotRunBy` annotations of all of  $C$ 's methods are subsets of or equal to the corresponding sets of  $D$ 's methods. If this were not the case, if a method  $m$  in  $C$  contained a `@NotRunBy('foo')` annotation, but the same method  $m$  in  $D$  did not, then the set of threads allowed to invoke  $m$  has been narrowed. Code working only with  $D$  at an abstract level may expect that  $m$  can be invoked on thread “foo”, because the contract for  $m$  in  $D$  did not state otherwise. This situation is similar to the covariant nature of function arguments: The set of acceptable threads may be made smaller, but not bigger.

## 6 Extension of Featherweight Java Typing

To check whether a program is well-typed both using the original  $<:$  subtyping relation and using the  $<@$  subtyping relation defined above, the  $<@$  relation should be used instead of  $<:$  as  $<@$  is more stringent and completely subsumes  $<:$  as one if its antecedents.

Furthermore, the  $\boxed{M \text{ OK in } C}$  and  $\boxed{C \text{ OK}}$  rules should be extended to also perform a  $\overline{A} \text{ OK}$  check.

## 7 Implementation Differences

In the current implementation, a few things differ from the syntax and typing described above. Full Java allows overloaded types, so distinguishing methods just by name  $n$  is not sufficient; instead, the full signature is used:  $Cm(\overline{P} x)$ . The class `Object` is treated specially in Featherweight Java and is assumed to contain no fields or methods; in the same spirit, we decided to disallow annotations on the class `Object` or its methods, even though our implementation allows it.

The syntax of annotation is also slightly different: As Java does not allow multiple annotations of the same type on the same class or method, `@NotRunBy` and `OnlyRunBy` actually contain arrays of annotations, which specify the thread. We felt it was unnecessary to reproduce this syntactic complexity here.

Finally, while we here identify threads only by a name, our implementation allows the specification by name, group name, ID number, or being an event thread.