

# MATHIAS RICKEN

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## EDUCATION

### **Ph.D. in Computer Science** May 2011

Rice University, Houston, TX. Graduate GPA 3.98/4.00

Thesis: “A Framework for Testing Concurrent Programs” (*extended*)

Research Area: Programming Languages. Advisor: Dr. Robert Cartwright

### **M.S. in Computer Science** October 2007

Rice University, Houston, TX

Thesis: “A Framework for Testing Concurrent Programs”

Republished 2009 by VDM Verlag (ISBN 978-3-639-15074-2)

### **B.S. in Computer Science** May 2004, magna cum laude

Rice University, Houston, TX. GPA 3.89/4.00

**Abitur** 1999. Average 1.0/1.0. Ranked 4<sup>th</sup> in the state of Bremen

Hermann Boese Gymnasium, Bremen, Germany

## PUBLICATIONS

### **DrHJ – A Lightweight Pedagogic IDE for Habanero Java**

Payne, J., V. Cavé, R. Raman, M. Ricken, R. Cartwright, and V. Sarkar

*To appear in Proceedings of the 9th International Conference on the Principles and Practice of Programming in Java (PPPJ 2011)*

“The Java language and runtime environment has had a profound worldwide impact on computer software since its introduction nearly two decades ago. It has enabled the creation of a rich ecosystem of libraries, frameworks, and tools that promises to deliver significant value for many years to come. Consequently, a wide range of Interactive Development Environments (IDEs) have emerged to increase the productivity of Java programmers. They vary in functionality based on the expertise level assumed for their target user base. The Eclipse Java Development Tools (JDT) project offers a rich set of power tools for experienced programmers, but can be harder for novice programmers to set up and use. In contrast, IDEs such as DrJava and BlueJ have been developed primarily for use in introductory programming courses.

In this tool demonstration paper, we summarize the DrHJ tool which will be demonstrated at the conference. In anticipation of the need for introducing parallelism earlier in the Computer Science curriculum, DrHJ extends DrJava with support for the pedagogic Habanero Java (HJ) parallel programming language derived from the earlier Java-based definition of the X10 language. DrHJ builds on our past experiences at Rice with developing the DrJava IDE and the HJ language. DrJava is used by many universities worldwide, and has been downloaded over 1.1 million times since its inception in 2002.”

**PUBLICATIONS**  
(continued)

**A Framework for Testing Concurrent Programs** (*extended*)

*Ph.D. Thesis, April 2011*

“This study proposes a new framework that can effectively apply unit testing to concurrent programs, which are difficult to develop and debug. The order of operations in different threads is essentially non-deterministic, making it more complicated to reason about program properties in concurrent programs than in single-threaded programs. Because hardware, operating systems, and compiler optimizations influence the order in which operations in different threads are executed, debugging is problematic since a problem often cannot be reproduced on other machines.

The Concutest framework (i) improves JUnit to recognize errors in all threads, a necessary development without which all other improvements are futile, (ii) places some restrictions on the programs to facilitate automatic testing, (iii) provides tools that reduce programmer mistakes, and (iv) re-runs the unit tests with randomized schedules to simulate the execution under different conditions and on different machines, increasing the probability that errors are detected.”

**Mint: Java Multi-stage Programming Using Weak Separability**

Westbrook, E, M. Ricken, J. Inoue, Y. Yao, T. Abdelatif, and W. Taha

*Proceedings of the 2010 ACM SIGPLAN Conference on Programming Language Design and Implementation (PLDI 2010), ACM 2010*

“Multi-stage programming (MSP) provides a disciplined approach to run-time code generation. In the purely functional setting, it has been shown how MSP can be used to reduce the overhead of abstractions, allowing clean, maintainable code without paying performance penalties. Unfortunately, MSP is difficult to combine with imperative features, which are prevalent in mainstream languages. The central difficulty is scope extrusion, wherein free variables can inadvertently be moved outside the scopes of their binders. This paper proposes a new approach to combining MSP with imperative features that occupies a ‘sweet spot’ in the design space in terms of how well useful MSP programs can be expressed and how easy it is for programmers to understand. The key insight is that escapes (or ‘anti-quotes’) must be weakly separable from the rest of the code, i.e. the computational effects occurring inside an escape that are visible outside the escape are guaranteed to not contain code. To demonstrate the feasibility of this approach, we formalize a type system based on Lightweight Java which we prove sound, and we also provide an implementation, called Mint, to validate both the expressivity of the system and the performance gains attainable by using MSP in this setting.”

**Test-First Java Concurrency for the Classroom**

Ricken, M., and R. Cartwright

*Proceedings of the Forty-First SIGCSE Technical Symposium on Computer Science Education. ACM, 2010*

“Concurrent programming is becoming more important due to the availability of multi-core processors and the prevalence of graphical user interfaces (GUIs). To adequately prepare students for the concurrent future, instructors have begun to address concurrency even in introductory courses. Unfortunately, practices like test-driven development that give students a safe footing in single-threaded environments do not extend well into the concurrent domain. This paper describes how ConcJUnit can simplify writing unit tests for multi-threaded programs, and provides examples that can be used to introduce students to concurrent programming.”

**PUBLICATIONS**  
(continued)

**ConcJUnit: Unit Testing for Concurrent Programs**

Ricken, M., and R. Cartwright

*Proceedings of the 7th International Conference on the Principles and Practice of Programming in Java (PPPJ 2009)*

*ACM International Conference Proceeding Series, ACM, 2009*

“We present ConcJUnit, an extension of the popular unit testing framework JUnit that simplifies the task of writing tests for concurrent programs by handling uncaught exceptions and failed assertions in all threads, and by detecting child threads that were not forced to terminate before the main thread ends.”

**A Framework for Testing Concurrent Programs**

*M.S. Thesis, October 2007*

*Republished 2009 by VDM Verlag (ISBN 978-3-639-15074-2)*

“To facilitate the development of concurrent programs, I developed: (1) An extension of the JUnit framework that fails tests that could silently ignore errors in auxiliary threads; (2) A set of Java annotations to specify and check the threading invariants; and (3) A testing framework to record, analyze and modify the schedules of unit tests, increasing the likelihood that concurrency problems are discovered.”

**Nifty Assignment: Temperature Calculator – Programming for Change**

Nguyen, D., and M. Ricken

*Proceedings of the Fifteenth OOPSLA Educators’ Symposium. ACM, 2006*

“Programming for change is a continual process in which software is designed over many iterations to capture the problem’s essence and express. At the heart of this process is the effort to identify those elements that can vary (variants) and delineate them from those that do not – the invariants. A properly designed software system should strive to decouple the variants from the invariants in order to facilitate the re-use of the invariants and allow modifications to the variants with minimal perturbation to the existing code.”

**Design Patterns for Parsing**

Nguyen, D., M. Ricken, and S. Wong

*Proceedings of the Thirty-Sixth SIGCSE Technical Symposium on Computer Science Education. ACM, 2005*

“We provide a systematic transformation of an LL(1) grammar to an object model that consists of (1) an object structure representing the non-terminal symbols and their corresponding grammar production rules; and (2) a union of classes representing the terminal symbols (tokens).

We present a variant form of the visitor pattern and apply it to the above union of token classes to model a predictive recursive descent parser on the given grammar. Parsing a non-terminal is represented by a visitor to the tokens. For non-terminals that have more than one production rule, the corresponding visitors are chained together according to the chain of responsibility pattern in order to be processed correctly by a valid token. The abstract factory pattern, where each concrete factory corresponds to a non-terminal symbol, is used to manufacture appropriate parsing visitors.

Our object-oriented formulation for predictive recursive descent parsing eliminates the traditional construction of the predictive parsing table and yields a parser that is declarative and has minimal conditionals. It not only serves to teach standard techniques in parsing but also as a non-trivial exercise of object modeling for objects-first introductory courses.”

**PUBLICATIONS**  
(continued)

**Nifty Assignment: Marine Biology Simulation**

Cheng, E., D. Nguyen, M. Ricken, and S. Wong

*Proceedings of the Thirteenth OOPSLA Educators' Symposium. ACM, 2004*

“The Marine Biology Simulation is designed as a final project in an objects-first CS2 course. It provides an entertaining setting that serves as compelling example of the powers of object-oriented design and programming.”

**Nifty Assignment: Abstract Factories and the Shape Calculator**

Cheng, E., D. Nguyen, M. Ricken, and S. Wong

*Proceedings of the Thirteenth OOPSLA Educators' Symposium. ACM, 2004*

“The Shape Calculator is an assignment targeted at CS1 students in an objects-first curriculum. It can serve as a powerful yet entertaining example of the advantages of object-orientation.”

**Design Patterns for Marine Biology Simulation**

Nguyen, D., M. Ricken, and S. Wong

*Proceedings of the Thirty-Fifth SIGCSE Technical Symposium on Computer Science Education. ACM, 2004*

“We describe a GUI application that simulates marine biological systems by making extensive use of object-oriented design patterns. The key design patterns are model-view-control, observer/observable, visitor, command, factory method and decorator. These design patterns help delineate the roles and responsibilities of the objects in the system, establish loose coupling between objects and arrange for the objects to communicate and cooperate with one another at the highest level of abstraction. The result is an application that exhibits minimal control flow, yet is powerful, robust, flexible and easy to maintain. Our work entails a non-trivial redesign of the current AP Computer Science Marine Biology Simulation case study and may serve as a case study for an introductory ‘object-first’ curriculum.”

**PRESENTATIONS**

**DrHJ - the cure to your Multicore Programming Woes**

Cavé, V., V. Sarkar, J. Payne, R. Raman, M. Ricken, and R. Cartwright

*SPLASH 2011*

"DrHJ extends DrJava with support for the pedagogic Habanero-Java language derived from X10, and used to teach parallel programming at the sophomore level. The demonstration will show how a rich and powerful set of parallel programming capabilities can be easily introduced to anyone familiar with the basics of sequential programming in Java."

**PRESENTATIONS**  
(continued)

**Agile and Efficient Domain-Specific Languages using Multi-stage Programming in Java Mint**

Ricken, M., E. Westbrook, and W. Taha

*Ninth International Conference on Generative Programming and Component Engineering (GPCE'10). ACM, 2010*

“Domain-specific languages (DSLs) are a powerful productivity tool as they allow domain experts, who are not necessarily programming experts, to quickly develop programs. DSL implementations have unique constraints because they must be efficient, in order to ensure high productivity, but must also be agile, in order to meet the rapidly changing demands of their domains. In this tutorial we show how multi-stage programming (MSP) can be used to build staged interpreters, which combine the agility of interpreters with the efficiency of compilers. The tutorial is conducted in Java Mint, an multi-stage Java based on recent work incorporating MSP into imperative object-oriented languages. In the first half of the tutorial, we introduce MSP by demonstrating how to write a staged interpreter for a number of basic language constructs, such as recursive functions, conditionals, and let expressions. In the second half, we extend our staged interpreter to take advantage of several well-known compiler optimizations, including type inference, constant folding, and static parallel loop scheduling. We highlight the opportunities afforded by using MSP with object-oriented design to quickly create efficient DSL implementations.”

**Mint: A Multi-stage Extension of Java**

Westbrook, E, M. Ricken, J. Inoue, Y. Yao, T. Abdelatif, and W. Taha

*Purdue University Computer Science Colloquia, March 15, 2010*

(see PLDI 2010 publication for description)

**Object-Oriented Design Festival Workshop**

Cheng, E., D. Nguyen, M. Ricken, and S. Wong

*Thirty-Seventh SIGCSE Technical Symposium on Computer Science Education. ACM, 2006*

“Object-oriented (OO) programming begins with analysis and design that produce a model describing the objects in the problem domain, their relationships, creation and interactions. The workshop covers fundamentals of OO analysis and design such as abstraction, separation of variants from invariants and decoupling of system components, via appropriate applications of composition, inheritance, polymorphism, and design patterns. The workshop will progress from a small design example illustrating the principles to a larger design problem to be solved by small teams of participants. Their solutions will be discussed in terms of design goals and compared against a solution provided by the presenters.”

**PATENTS**

**Integration based anomaly detection service US PTO 9015536**

Detecting resource usage anomalies in a cloud computing environment.

**Integration based anomaly detection service (cont'd) US PTO 9436535**

Continuation of US patent 9015536.

**Integration based anomaly detection service (cont'd) US PTO 10216560**

Continuation of US patent 9436535.

## EXPERIENCE

**Consulting Member of Technical Staff**, Oracle Software, Seattle, WA, Mar 2017 – Developing reliable, efficient, and easy to use SDKs and CLIs for Oracle’s cloud infrastructure.

(Principal Member of Technical Staff: 2017-2020)

**Senior Software Engineer**, Tableau Software, Seattle, WA, Dec 2015 – Mar 2017 Working at the intersection of Tableau’s two most important projects: Developing a Linux server, and creating an improved, scalable server infrastructure and administration interface.

### **Senior Software Development Engineer**

Amazon.com, Seattle, WA, Jan 2011 – Dec 2015

- Extending and simplifying the Subscriptions platform, the backbone of programs like Prime, Kindle Unlimited, Audible, and Subscribe with Amazon by adding plug-in concepts and self-service web UIs.
  - Converting the Kindle bookstore storefront to a server-side-rendered website correctly displayed on diverse hardware.
  - Automatically detecting and rolling back deployments of faulty code.
  - Responsive client-side web UI for self-service configuration of anomaly detection, including graphical display of past data and anomalies.
  - Automatic modification of anomaly detection configuration based on user-supplied constraints.
  - Anomaly detection service for numerical time-series data, consuming live metrics from thousands of distributed servers.
  - Live migration from old service to scalable new service without downtime.
- (2012 promotion to SDE II; 2015 promotion to Senior SDE)

### **Principal Developer**, JavaPLT, January 2006 –

Rice University, Houston, TX

Extending and maintaining DrJava, an open-source cross-platform Java development environment (~350KLOC). Made it suitable for use on large software projects. Refactored the compiler interface, integrated the NextGen, Habanero Java, and Java Mint research compilers, and developed DrJava into a compiler research platform.

### **Research Assistant**, Programming Languages Team, May 2004 –

Rice University, Houston, TX

Investigated and implemented testing tools for concurrent Java programs. Implemented a multi-stage programming extension of Java called Mint. Designed and developed course material for computer science courses in object-oriented programming and concurrent programming.

### **R & D Intern**, Real-Time and Embedded Systems, May 2003 – August 2003

National Instruments, Austin, TX

Modified the LabVIEW Embedded environment to generate multi-threaded C source code for different operating systems and hardware platforms.

### **Software Developer**, Programming Languages Team, August 2002 – May 2003

Rice University, Houston, TX

Developed the programming environment DrC#.

**EXPERIENCE**  
(continued)

**Research Assistant**, Computer Graphics, May 2002 – December 2002  
Rice University, Houston, TX  
Independently researched and implemented texture and geometry synthesis algorithms in computer graphics; developed applications for a haptic input device.

**TEACHING**

**Customer**, Software Engineering Methodology, Fall 2010  
Rice University, Houston, TX  
Acted as demanding customer for students in a class that models a realistic software development scenario and that teaches principles of software engineering.

**Mentor**, Independent Study, Fall 2009, Spring 2010  
Rice University, Houston, TX  
Provided advice and supervision to undergraduate computer science students for independent studies concerned with (1) extending the DrJava development environment, and (2) multi-stage programming.

**Instructor**, Production Programming, Spring 2009  
**Teaching Assistant**, Production Programming, 2 semesters  
Rice University, Houston, TX  
Held all class lectures, designed the curriculum, chose projects for student groups, and assigned final grades. As teaching assistant, maintained website and solutions, helped students with Ant and Subversion, administered SourceForge accounts.

**Instructor**, Principles of Object-Oriented Programming II, Fall 2008  
Rice University, Houston, TX  
Held all class lectures and laboratory tutorials, modified and designed the curriculum, supervised teaching assistants, graded exams and homework assignments, and assigned final grades.

**Teaching Assistant**, Programming Languages, 3 semesters  
Rice University, Houston, TX  
Held several class lectures, consulted undergraduate and graduate students, and graded their exams and homework assignments. Assisted in conversion of lectures and assignments to OCaml. Maintained website and solutions, improved grading scripts.

**Teaching Assistant**, Intermediate Programming, 9 semesters  
Rice University, Houston, TX  
Held several class lectures. Presented weekly tutorials on Unix, Java, design patterns, and tools; consulted college students and graded their exams and homework assignments. Maintained website and grade database.

**HONORS**

Doctoral Fellowship (2004 – 2010)  
Rice University/Texas Medical Center Graduate Teaching Certificate  
Dean's Teaching Assistant (2008 – 2009)  
Sid Richardson Fellow  
Rice Undergraduate Scholar  
Tau Beta Pi Engineering Honor Society (Officer 2003 – 2004)  
Louis J. Walsh Merit Scholarship in Engineering 2001 – 2004  
Rice Ambassador, Corps of Special Aides to the Governor of Texas (2001 – 2004)  
Rice University President's Honor Roll Fall 2000, 2002, 2003; Spring 2002, 2003

**MEMBERSHIP**

Association for Computing Machinery (ACM)  
Special Interest Group on Programming Languages (SIGPLAN)  
Special Interest Group on Computer Science Education (SIGCSE)